

09/582586

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT

ACCOUNT NO. 23-0975

430 Rec'd PCT/PTO 28 JUN 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Yoshiyasu KURAMOTO et al. : Attn: BOX PCT
Serial No. NEW : Docket No. 2000_0917A
Filed June 28, 2000 :

RECORDING/REPRODUCING APPARATUS
AND METHOD

[Corresponding to PCT/JP99/05953
Filed October 28, 1999]

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents,
Washington, DC 20231

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, applicant requests consideration of ☒ the references listed on attached form PTO-1449 and/or ☐ the additional information identified below in paragraph 3. A legible copy of each reference listed on form PTO-1449 is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No. _____.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing date of the first Office Action on the merits,

and thus no certification and/or fee is required.

ATTACHMENT D

- 1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus:

- (1) ☐ the certification of paragraph 2 below is provided, **or**
(2) ☐ a fee of \$___ is enclosed.

- 1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

a Petition is set forth below in paragraph 5, and a petition fee of \$___ is enclosed.

2. It is hereby certified

- a. ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

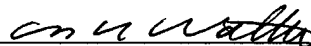
- a. ☐ an English language translation submitted herewith,

- b. ☒ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☐ an English language translation of a foreign patent office search report submitted herewith,
 - d. ☒ the concise explanation contained in the specification of the present application at page 3,
 - e. ☒ the concise explanation set forth in the attached English language abstract,
 - f. ☐ the concise explanation set forth below:
5. ☐ Pursuant to 37 CFR 1.97(d), the Commissioner is hereby petitioned to consider the present Information Disclosure Statement. The required petition fee of \$130.00 set forth in 37 CFR 1.17(i)(1) is enclosed.

Respectfully submitted,

Yoshiyasu KURAMOTO et al.

By



Charles R. Watts

Registration No. 33,142

Attorney for Applicants

CRW/asd
Washington, D.C.
Telephone (202) 721-8200
Facsimile (202) 721-8250
June 28, 2000

Sheet 1 of 1

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: June 28, 2000

ATTY DOCKET NO.
2000_0917ASERIAL NO.
NEW

09/582586

APPLICANT
Yoshiyasu KURAMOTO et al.FILING DATE
June 28, 2000

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	8-125966	5/17/96	JP			Abstract
	AK	6-292123	10/18/94	JP			
	AL						
	AM						
	AN						

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.